### Stuff Happens...Then What?

#### **LeadingAge New Jersey**

Thursday, June 2, 2016

**Presented by Rafael Haciski & Bette McNee** 



#### Presenters



Rafael Haciski, Esquire Producer



Bette McNee, RN, NHA Clinical Risk Management Consultant

Stuff.....

..... it happens.

### Objectives

- 1. Discuss if accidents are preventable
- Describe purpose of an investigation
- 3. Name the three causes of all accidents
- Describe the Five Why's method
- 5. Share how to respond to unsafe acts
- Describe how a systems approach prevents errors

#### All Accidents Preventable?

- Committed to ZERO
- 2. In a Perfect World....
- 3. Humans Aren't Perfect
- 4. Accept Accidents?

# Simple Example



### Why Do YOU Investigate?

- 1. Requirements/Regulations
- 2. Defense/Legal Argument
- 3. Exonerate Individuals
- 4. Place Blame or Responsibility

# Always Punitive?



### Finding Root Cause

- 1. No Blame
- 2. No Defense
- 3. Find Reason --- Root Cause

Use root causes or causal factors, to re-design process to nearly fool proof.

#### What Are Some Root Causes

- 1. Unsafe Act human error, poor judgement, disregard of rules, short cuts
- 2. Unsafe Conditions slippery floor, broken equipment, ice
- 3. Acts of God

#### How to Find Root Causes

1. Ask Good Questions

2. Ask <u>Five</u> Questions

### Five Whys Example

- 1. Visi Reason n the sidewalk. Why?
- 2. There was ice. Why?
- 3. Ice melt had not been applied.
- 4. Employee did not know how. Why?
- 5. He had not been properly trained. Why?
- 6. Supervisor thought everyone knew how to apply ice melt.

### My Example

- 1. Late for v Reason hy?
- 2. I didn't hear my alarm. Why?

STOP

- 3. Covered my head with pillow. Why?
- 4. Exhausted and needed sleep. Why?
- 5. Up late last night. Why?
- 6. Couldn't sleep have insomnia.

### Case Study

- 1. Clients missed the play. Why?
- 2. Arrived very late. Why?
- 3. Driver had to stop for gas. Why?
- 4. Previous driver did not fill tank as per policy. Why?
- 5. He did not want to clock out late. Why?
- 6. Third write up He would be fired.

## After the Five Why's

- Inadequate Training
- Poor Orientation Process
- Little or No Employee Accountability
- 4. Poor Preventative Maintenance
- 5. No Enforcement or Disciplinary Action

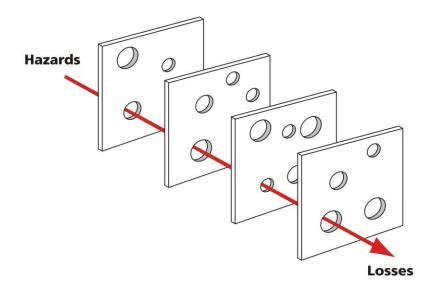
#### **Human Error**

- 1. Average 60 80% of Accidents Involve Human Error
- 2. Perfection Myth
- 3. Punishment Myth
- Errors Happen Even if We Are Skilled and Motivated
- 5. So.... How Can We Prevent Accidents When Human Error Isn't Preventable?

## Studying Human Error

- 1. Error
- 2. Poor Judgement
- 3. Disregard for Standards

### **Errors & Systems Approach**



- 1. Swiss Cheese Model
- 2. Sharp End vs Blunt End
- 3. Examples with some of your "slices"

#### Tweet Us!

### @TheGrahamCo



Rafael Haciski, Esquire Producer RHaciski@grahamco.com



Bette McNee, RN, NHA Clinical Risk Management Consultant BMcNee@grahamco.com